

09/378,878



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:  
Worthington et al.

Serial No.: 09/378,878

Filed: August 23, 1999

For: METHODS AND APPARATUS FOR  
ANALYZING OPERATIONAL AND  
NONOPERATIONAL DATA  
ACQUIRED FROM OPTICAL DISCS

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Art Unit: 2752

Examiner: Albert Decady

Attorney Docket No.:  
1011 - Burst 15

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REQUEST FOR ENTRY OF CERTIFICATE  
UNDER 37 C.F.R. SECTION 3.73(b) AND SUPPORTING STATEMENT

BOX AMENDMENT  
Commissioner of  
Patents and Trademarks  
Washington, DC 20231

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Sir:

In further support of Assignee's interest herein, Applicant respectfully requests entry of the following Certificate Under 37 C.F.R. Section 3.73(b) in connection with the above-identified application.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 50-1781. A duplicate of this sheet is enclosed.

Respectfully submitted,

Donald Bollella  
Registration No. 35,451

Date

Aug 28, '01

BURSTEIN TECHNOLOGIES, INC.  
LEGAL DEPARTMENT  
163 Technology Drive - Suite 200  
Irvine, California 92618  
(949) 453-1800

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MRD 9/1/00

09-20-2000

FORM PTO-1595  
(Rev. 6-93)OMB No. 0061-0011 (exp. 4/94)  
Tab settings ⇒ ⇒ ⇒

101466939

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Mark O. Worthington and Gregory R. Basile

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: August 4 and August 8, 2000

## 2. Name and address of receiving party(ies)

Name: Burstein Technologies, Inc.

Internal Address: \_\_\_\_\_

Street Address 163 Technology Drive

Suite 200

City: Irvine State: CA ZIP: 92618

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
09/378,878

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David J. Oldenkamp, Esq.

Internal Address: Oppenheimer Wolff &amp; Donnelly LLP

Suite 3800

Street Address: 2029 Century Park East

City: Los Angeles State: CA ZIP: 90067

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☒ Authorized to be charged to deposit account\*

## 8. Deposit account number \* for fee deficiency only:

16-2230

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David J. Oldenkamp, Reg. No. 29,421

Signature

August 28, 2000

Date

Total number of pages including cover sheet, attachments, and document:

4

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

09/18/2000 DNGUYEN 00000643 09378878

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Exhibit B

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## ASSIGNMENT

WHEREAS, we, Mark O. Worthington and Gregory R. Basile, residing, respectively, at 13841 Tustin East #183, Tustin, California 92780, and 2918 Maydelle, Farmer's Branch, Texas 75234, have made an invention entitled:

### METHODS AND APPARATUS FOR ANALYZING OPERATIONAL AND NONOPERATIONAL DATA ACQUIRED FROM OPTICAL DISCS

and have made application for United States Letters Patent thereof, filed August 23, 1999, under Application Serial No. 09/378,878; and

WHEREAS, BURSTEIN TECHNOLOGIES, INC., a corporation organized and existing under the laws of the State of Delaware, and having an address of 163 Technology Drive, Suite 200, Irvine, California 92618, is desirous of acquiring the entire interest in said invention, said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) paid, and other good and valuable consideration, the receipt of sufficiency of which is hereby acknowledged, we do hereby sell, assign and transfer unto the said BURSTEIN TECHNOLOGIES, INC., its successors, assigns and legal representatives, all right, title and interest in and to said invention and any improvements thereof for all countries of the world, and in and to said United States patent application, including any continuations and divisions thereof, and any substitute applications therefor, and including the right to claim priority under the International Convention based on said patent application, and any patent which may issue therefrom, and any reissues of the same; and all right, title and interest in and to every patent application filed or to be filed on said invention in any other country, including renewals, revivals, continuations and divisions thereof, and any substitute applications therefor, and any and all patents which may issue thereon, and any reissues and extensions of the same;

and we hereby authorize and request competent authorities to grant and issue any and all patents on said invention to the said BURSTEIN TECHNOLOGIES, INC. as the assignee of the entire interest therein; and we further agree to execute upon request of the assignee such additional documents, if any, as are necessary and proper to secure patent protection on said

invention throughout all countries of the world, and to otherwise give full effect to and perfect the rights of the assignee under this Assignment.

IN TESTIMONY WHEREOF, we have hereunto signed our names and affixed our seals on the date indicated hereinafter.

8/04/00  
Date

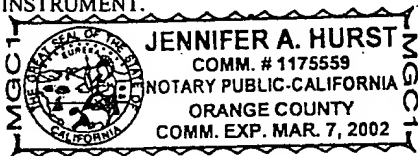
Mark O. Worthington  
Mark O. Worthington

STATE OF CALIFORNIA)

: ss.

COUNTY OF ORANGE )

ON AUGUST 4, 2000, BEFORE ME JENNIFER A. HURST, NOTARY PUBLIC, PERSONALLY APPEARED MARK O. WORTHINGTON, ~~PERSONALLY KNOWN TO ME~~/PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON WHOSE NAME IS SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE EXECUTED THE SAME IN HIS AUTHORIZED CAPACITY AND THAT BY HIS SIGNATURE ON THE INSTRUMENT THE PERSON, OR THE ENTITY UPON BEHALF OF WHICH THE PERSON ACTED, EXECUTED THE INSTRUMENT.



WITNESS my hand and official seal.


Jennifer A. Hurst

(NOTARIAL SEAL)

☒ Signature for Gregory Basile appears on next page.

8/8/00

Date

  
Gregory R. Basile

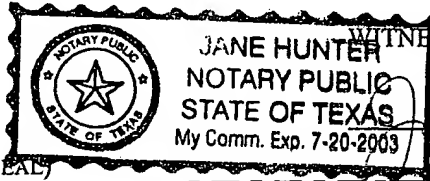
STATE OF TEXAS )

COUNTY OF

Dallas

: ss.

ON Aug 8, 2000, BEFORE ME \_\_\_\_\_, NOTARY PUBLIC, PERSONALLY APPEARED GREGORY R. BASILE, PERSONALLY KNOWN TO ME/PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON WHOSE NAME IS SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE EXECUTED THE SAME IN HIS AUTHORIZED CAPACITY AND THAT BY HIS SIGNATURE ON THE INSTRUMENT THE PERSON, OR THE ENTITY UPON BEHALF OF WHICH THE PERSON ACTED, EXECUTED THE INSTRUMENT.



(NOTARIAL SEAL)

WITNESS my hand and official seal.

Jane Hunter



**BURSTEIN**  
A World of Invention



BOX AMENDMENT  
Commissioner for Patents  
Washington, D.C. 20231

August 28, 2001

VIA EXPRESS MAIL

Re: U.S. Patent Application Serial No.: 09/378,878  
Filed: August 23, 1999  
Entitled: METHODS AND APPARATUS FOR ANALYZING  
OPERATIONAL AND NONOPERATIONAL DATA ACQUIRED  
FROM OPTICAL DISCS  
Inventor: Worthington et al.  
Our File No.: 99101101(US)US

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Dear Sir:

Enclosed for filing in the above-referenced application are the following documents:

1. Cover Letter
2. Power of Attorney by Assignee
3. Exhibit A: Request for Entry of Certificate Under 3.73(b)
4. Exhibit B: Certificate Under 3.73(b) listing the chain of ownership
5. Exhibit C: Relevant assignment from Mark O. Worthington and Gregory Basile to Burststein Technologies, Inc.
6. Certificate of Express Mail
7. Postcard

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 50-1781. A duplicate of this sheet is enclosed.

Please indicate on the enclosed postcard the date of receipt of the enclosed materials and mail this pre-paid postcard to acknowledge receipt of this transmittal.

Very truly yours,

Donald Bollella  
Chief Patent Counsel  
Registration No. 35,451

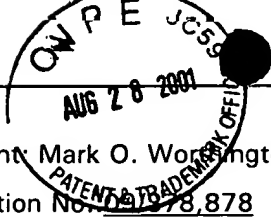
DB:SB:jc

Enclosures

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Certify that this document was submitted to the U.S. Patent and Trademark Office using U.S. Express Mail under 37 C.F.R. § 1.10	
EL923484350US	August 28, 2001
Express Mail Label Number	Date of Deposit
Sandra Bridges	
Print Name	Signature

Burststein Technologies, Inc.  
163 Technology Drive • Irvine, California 92618  
Tel: (949) 453-1800 • Fax: (949) 453-1818 • www.bursteintech.com



**CERTIFICATE UNDER 37 CFR 3.73(b)**

Applicant: Mark O. Worthington and Gregory R. Basile

Application No. 08/178,878 Filed: August 23, 1999

Entitled: Methods and Apparatus for Analyzing Operational and Nonoperational Data  
Acquired from Optical Discs

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Burstein Technologies, Inc., a

Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011074, Frame 0826, or for which a copy thereof is attached;

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

**STATEMENT IN SUPPORT OF ASSIGNEE'S INTEREST**

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Aug 28, 2001  
Date

Donald Bollella  
Chief Patent Counsel

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Exhibit C

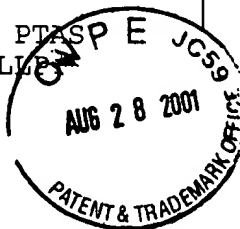
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Burstein  
Oldenkamp



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

NOVEMBER 03, 2000

OPPENHEIMER WOLFF & DONNELLY LLP  
DAVID J. OLDENKAMP, ESQ.  
2029 CENTURY PARK EAST  
SUITE 3800  
LOS ANGELES, CA 90067



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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/01/2000

REEL/FRAME: 011074/0826  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

WORTHINGTON, MARK O.

DOC DATE: 08/04/2000

ASSIGNOR:

BASILE, GREGORY R.

DOC DATE: 08/08/2000

ASSIGNEE:

BURSTEIN TECHNOLOGIES, INC.  
163 TECHNOLOGY DRIVE  
SUITE 200  
IRVINE, CALIFORNIA 92618

SERIAL NUMBER: 09378878  
PATENT NUMBER:

FILING DATE: 08/23/1999  
ISSUE DATE:

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JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
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